

# REQUEST

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•	For	receiving Office use only		
PCT	International Application No.			
REQUEST	International Filing D	ate		
The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	Name of receiving Of	fice and "PCT International Application"		
	Applicant's or agent's file reference (if desired) (12 characters maximum) PG3614/ PCT			
Box No. I TITLE OF INVENTION  Medicament I	Delivery System			
Box No. II APPLICANT				
Name and address: (Family name followed by given name; for a legal endesignation. The address must include postal code and name of country. The indicated in this Box is the applicant's State (that is, country) of residence if indicated below).	e country of the address	This person is also inventor.  Telephone No. 0171 493 4060		
Glaxo Group Limited Glaxo Wellcome House		Facsimile No. 0181 966 8838		
Berkeley Avenue		Teleprinter No. 25456		
Greenford, Middlesex UB6 0NN GB		Teleprinter (vo. 25450		
State (i.e. country) of nationality:	State (i.e. country) of			
GB		GB		
This person is applicant all designated for the purposes of:  all designated States all designated States		United States  the States indicated in the supplemental Box		
Box No. III FURTHER APPLICANTS AND/OR (FURTH	ER) INVENTORS			
Name and address: (Family name followed by given name; for a legal en designation. The address must include postal code and name of country. The indicated in this Box is the applicant's State (that is, country) of residence if nindicated below.)  JONES, Anthony Patrick Glaxo Group Ltd. Park Road Ware, Herts.  SG12 ODP GB	tity, full official e country of the address no State of residence is	This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)		
State (i.e. country) of nationality:  GB	State (i.e. country) of	residence: GB		
This person is applicant all designated all designated States all designated States all designated States		United States		
Further applicants and/or (further) inventors are indicated	ed on a continuation sh	eet.		
Box No. IV AGENT OR COMMON REPRESENTATIVE	; OR ADDRESS FOR	CORRESPONDENCE		
The person identified below is hereby/has been appointed to act on	behalf			
of the applicant(s) before the competent International Authorities a Name and address: (Family name followed by given name; for a legal of designation. The address must include postal code	entity, full official	Telephone No.: 01628-471869		
PIKE, Christopher Gerard Pike & Co.,		Facsimile No.: 01628-471878		

Teleprinter No.:

Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to

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Continuation of Box No. III FURTHER APPLICANTS AND/OR (FURTHER) INVENTORS								
If none of the following sub-boxes is used, this sheet is not to be included in the request.								
Name and address: (Family name followed by given name; for a legal enti- The address must include postal code and name of country. The country of the Box is the applicant's State (that is, country) of residence if no State of resident ANDERSON, Gregor, John McLennan Glaxo Group Ltd. Park Road Ware, Herts. SG12 ODP	e address indicated in this This person is:							
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This person is applicant all designated all designated States all designated States	ates except the United States the States indicated in the Supplemental Box							
Further applicants and/or (further) inventors are indicated on a								

Form PCT/RO/101 (continuation sheet) (January 2000)

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		lowing designations are hereby made under Rule 4.9(a) (	mari	k the a	pplicable check-boxes; at least one must be marked):
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X	] AP	ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, TZ United Republic of Tanzania, UG Uganda, ZW Zin Protocol and of the PCT	LS I nbab	esothwe, ar	o, MW Malawi, SD Sudan, SL Sierra Leone, SZ Swaziland, and any other State which is a Contracting State of the Harare
X	EA	Eurasian Patent: AM Armenia, AZ Azerbaijan, BY	Bela n, an	rus, <b>K</b> dany	CK Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, other State which is a Contracting State of the Eurasian Patent
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_		Kazakhstan	bcc	ome p	party to the PCT after issuance of this sheet:
		Saint Lucia			
		Sri Lanka			
Predes from	cauti ignati n the	ionary Designation Statement: In addition to the designations which would be permitted under the PCT except any scope of this statement. The applicant declares that the	/ des ose hs fi	is mad ignationadition	le above, the applicant also makes under Rule 4.9(b) all other on(s) indicated in the Supplemental Box as being excluded onal designations are subject to confirmation and that any priority date is to be regarded as withdrawn by the applicant

Sheet No 4

Box No. VI PRIORITY CLAIM		Further priority claims are indicated in the Supplemental Box						
F.II D-4-	Number		Where earlier application regional application:*	international application:				
Filing Date of Earlier Application (day/month/year)	of earlier application	national application: country						
item (1) (06.03.99) 6 March 1999	9905134.4	GB						
item (2) (27.07.99)	9917470.8	GB						
27 July 1999								
The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of the present international application is the receiving Office identified above as item(s):  * Where the earlier application is an ARIPO application, it is mandatory to indicate in the Supplemental Box at least one country party to the Paris Convention for the Protection of Industrial Property for which that earli application was filed (Rue 4.10(b)(ii)). See Supplemental Box.								
Box No. VII INTE	RNATIONAL SEARC							
Choice of International Seat if two or more International Seat competent to carry out the interna- tuthority chosen; the two-letter of ISA	rching Authorities are ational search, indicate the		of earlier search; reference ut by or requested from the Interna Number Cou					
Box. VIII CHEC	K LIST; LANGUAGI	E OF FILING						
This international application the following number of strequest	neets: 1. ☑ 2. ☑	fee calculation sheet separate signed power of	ccompanied by the item(s) m rattorney f attorney; reference number, i					
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Next to each signature, indicate th	he name of the person signing a	nd the capacity in which the pe	erson signs (if such capacity is not ob	vious from reading the request).				
Christopher Gerard l Agent for the Applica			<u></u>					
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Date of actual receipt of international application				2. Drawings				
<ol> <li>Corrected date of actual timely received papers of the purported internation</li> </ol>	or drawings completing nal application:			not received:				
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## **PCT**

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

PG3614/PC	CT	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)						
International ap	·	International filing date (day/month) 23/02/2000	/year) Priority date (day/month/year) 06/03/1999						
International Pa A61M11/00	International Patent Classification (IPC) or national classification and IPC A61M11/00								
Applicant GLAXO GR	OUP LIMITED et al.								
	rnational preliminary exami ansmitted to the applicant a		by this International Preliminary Examining Authority						
2. This REF	PORT consists of a total of	6 sheets, including this cover sh	eet.						
been	amended and are the bas	by ANNEXES, i.e. sheets of the is for this report and/or sheets co of the Administrative Instruction	e description, claims and/or drawings which have ontaining rectifications made before this Authority ns under the PCT).						
These an	nexes consist of a total of	6 sheets.							
3. This repo	nt contains indications relat	ing to the following items:	·						
ı D	Basis of the report								
11 [	Priority								
III 🗵	_		entive step and industrial applicability						
V ⊠		der Article 35(2) with regard to n ns suporting such statement	ovelty, inventive step or industrial applicability;						
VI C	Certain documents cited	• •							
VII 🗵	Certain defects in the int	ternational application							
VIII 🗵	Certain observations on	the international application							
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Date of submiss	sion of the demand	Date of co	ompletion of this report						
10/08/2000		19.06.200	01						
	ng address of the international mining authority:	Authorize	d officer						
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# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP00/01443

ı.	Bas	sis of the report	•			
1.	the and	receiving Office in	nents of the international applic response to an invitation under to this report since they do not co	Article 14 are	referred to in this rep	ort as "originally filed"
	1-1	2	as originally filed			
	Cla	ims, No.:				
	1-4	4	as received on	12/03/2001	with letter of	09/03/2001
	Dra	wings, sheets:				
	1/5-	-5/5	as originally filed			
2.	lang	guage in which the i	juage, all the elements marked international application was file available or furnished to this Aut	d, unless othe	erwise indicated unde	-
		the language of a	translation furnished for the purp	ooses of the i	nternational search (u	nder Rule 23.1(b)).
		the language of pu	iblication of the international app	olication (unde	er Rule 48.3(b)).	
		the language of a 55.2 and/or 55.3).	translation furnished for the purp	ooses of inter	national preliminary e	xamination (under Rule
3.			leotide and/or amino acid seq y examination was carried out o			
		contained in the in	ternational application in written	form.		
		filed together with	the international application in c	omputer read	able form.	
		furnished subsequ	ently to this Authority in written t	form.		
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			t the subsequently furnished wri		e listing does not go b	eyond the disclosure in
		The statement that listing has been ful	t the information recorded in cor mished.	nputer readat	ole form is identical to	the written sequence

 $\square$  the description, pages:

☐ the claims,

4. The amendments have resulted in the cancellation of:

Nos.:

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP00/01443

		the drawings, sheets:	
5.		This report has been established as if (some of) the amendments had not been made, since they have be considered to go beyond the disclosure as filed (Rule 70.2(c)):	en:
		(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to to report.)	าเร
6.	Ado	itional observations, if necessary:	
Ш.	. Nor	establishment of opinion with regard to novelty, inventive step and industrial applicability	
1.		questions whether the claimed invention appears to be novel, to involve an inventive step (to be non- ous), or to be industrially applicable have not been examined in respect of:	
		the entire international application.	
	×	claims Nos. 42-44.	
be	caus	e:	
		the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination ( <i>specify</i> ):	i
		the description, claims or drawings ( <i>indicate particular elements below</i> ) or said claims Nos. are so unclea that no meaningful opinion could be formed ( <i>specify</i> ):	r
		the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinio could be formed.	on
	Ø	no international search report has been established for the said claims Nos. 42-44.	
2.	and/	caningful international preliminary examination cannot be carried out due to the failure of the nucleotide or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative actions:	
		he written form has not been furnished or does not comply with the standard.	
		he computer readable form has not been furnished or does not comply with the standard.	
٧.	Reas citat	oned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability ons and explanations supporting such statement	;
1.	State	ment	
	Nove	ltv (N) Yes: Claims 1-41	

#### INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/EP00/01443

No:

Claims

Inventive step (IS)

Yes: Claims 1-41

No: Claims

Industrial applicability (IA)

Yes:

Claims 1-41

No: Claims

2. Citations and explanations see separate sheet

#### VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

#### VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

#### **EXAMINATION REPORT - SEPARATE SHEET**

#### Section III.

1. Claims 42-44 relate to medical method, cf. Rule 67.1(iv) PCT, and have not been searched, see Rule 66.1(e) PCT.

#### Section V.

- 1. The closest prior art is represented by document US-A-5 813 397 which discloses a hand held microprocessor controlled inhaler device which discloses the features of the preamble of claim 1.
  - Document US-A-5 743 252 discloses a device similar to the device as disclosed in US-A-5 813 397.
- 1.1 The object of the present invention was to improve delivery of medicament in portable device such as disclosed in the two above mentioned documents.

This object is achieved by means of defining that the monitor provides a signal to the actuator for the release of a dose of medicament at a trigger point which is coupled to the end of the exhalation part of the breath cycle as defined in the characterising portion of claim 1.

None of the available prior art documents suggests to improve a portable device, such as disclosed in the two above mentioned documents, by means of a device as defined in the independent claim 1.

- 1.2 Independent claim 1 therefore fulfils the requirements of Article 33(2)-(3) PCT.
- 2. Dependent claims 2-41 define preferred embodiments of the device as defined in the independent claim 1.
- 3. Claims 1-41 thus meets the requirements of Article 33(2)-(4) PCT.

#### Section VII.

1. The features of the claims are not provided with reference signs placed in

## INTERNATIONAL PRELIMINARY

International application No. PCT/EP00/01443

**EXAMINATION REPORT - SEPARATE SHEET** 

parentheses (Rule 6.2(b) PCT).

Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art 2. disclosed in the documents US-A-5 813 397 and US-A-5 743 252 is not mentioned in the description, nor are these documents identified therein.

#### Section VIII.

1. The vague and imprecise statement in the description on page 12, last paragraph, implies that the subject-matter for which protection is sought may be different to that defined by the claims, thereby resulting in lack of clarity (Article 6 PCT) when used to interpret them (see also the PCT Guidelines, III-4.3a).



#### **CLAIMS:**

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- 1. A system for the delivery of inhalable medicament comprising
- 5 a monitor for monitoring the breath cycle of a patient;
  - a medicament container having a release-mechanism for releasing inhalable medicament therefrom; and
- an actuator for actuating said release mechanism, said actuator being actuable in response to a signal from said monitor,
  - characterized in that the monitor provides said signal at a trigger point which is coupled to the end of the exhalation part of the breath cycle.
  - 2. A system according to claim 1, wherein said monitor comprises one or more sensors for sensing the pressure profile associated with the breath cycle.
- A system according to either of claims 1 or 2, wherein said monitor
   comprises one or more sensors for sensing the airflow profile associated with the breath cycle.
  - 4. A system according to any of claims 1 to 3, wherein said monitor comprises one or more sensors for sensing the temperature profile associated with the breath cycle.
  - 5. A system according to any of claims 1 to 4, wherein said monitor comprises one or more sensors for sensing the moisture profile associated with the breath cycle.
  - 6. A system according to any of claims 1 to 5, wherein said monitor comprises one or more sensors for sensing the oxygen or carbon dioxide profile associated with the breath cycle.

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- 7. A system according to any of claims 1 to 6, wherein the trigger point corresponds to the point at which the lungs of the patient are most empty.
- 8. A system according to any of claims 1 to 7, wherein said monitor is connectable to an electronic information processor.

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- 9. A system according to claim 8, wherein said electronic information processor includes an active memory for storing information about the breath cycle.
- 10. A system according to claim 9, wherein said electronic information processor includes a predictive algorithm for predicting the optimum trigger point.
- 15 11. A system according to claim 9, wherein said electronic information processor includes a look-up table for predicting the optimum trigger point.
  - 12. A system according to any of claims 9 to 11, wherein said electronic information processor includes a second predictive algorithm for predicting the optimum amount of medicament to release.
  - 13. A system according to any of claims 9 to 11, wherein said electronic information processor includes a second look-up table for predicting the optimum amount of medicament to release.
  - 14. A system according to either of claim 12 or 13, wherein said electronic information processor includes a dose memory for storing information about earlier delivered doses and reference is made to the dose memory in predicting the optimum amount of medicament to release.
  - 15. A system according to any of claims 12 to 14, additionally comprising a display for displaying information about the optimum amount of medicament to release.

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16. A system according to any of claims 12 to 15, additionally comprising a selector for selecting the amount of medicament to release.

17. A system according to claim 16, wherein the selector is manually operable.

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- 18. A system according to claim 16, wherein the selector is operable in response to a signal from the electronic information processor.
- 10 19. A system according to any of claims 16 to 18, wherein the selector comprises a timing mechanism for varying the time interval of actuation of the actuator.
- 20. A system according to any of claims 16 to 19, wherein the selector comprises a metering mechanism between the container and the release mechanism for metering a variable quantity of medicament for release.
- 21. A system according to any of claims 16 to 20, wherein the selector comprises a multiple-fire mechanism for multiple actuation of the actuator,
   20 wherein each actuation releases a portion of the optimum amount of medicament.
- 22. A system according to any of claims 1 to 21, wherein said medicament container is an aerosol container and said release mechanism is an aerosol valve.
  - 23. A system according to claim 22, wherein said aerosol valve includes a metering chamber for metering the release of medicament.
- 30 24. A system according to claim 23, wherein the metering chamber has a variable metering volume.
- 25. A system according to claim 24, wherein the metering chamber comprises a chamber of fixed volume which metering volume is variable by insertion of a plunger or piston.

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- 26. A system according to claim 24, wherein the metering chamber is formed from an expandable material.
- 5 27. A system according to claim 24, wherein the metering chamber has a telescopic or concertina arrangement.
  - 28. A system according to any of claims 1 to 21, wherein said medicament container is a dry-powder container or a liquid container.
- 29. A system according to any of claims 1 to 28, wherein said actuator comprises an energy store for storing energy which energy is releasable to activate the release mechanism of the medicament container.
- 15 30. A system according to claim 29, wherein said energy store comprises a biasable resilient member.
  - 31. A system according to claim 30, wherein said biasable resilient member is a spring.
  - 32. A system according to claim 29, wherein said energy store comprises a source of compressed fluid, preferably compressed gas.
- 33. A system according to claim 29, wherein said energy store comprises a voltaic cell or battery of voltaic cells.
  - 34. A system according to claim 29, wherein said energy store comprises a chemical energy source, preferably a chemical propellant or ignition mixture.
- 30 35. A system according to claim 29, wherein said energy store comprises a physically explosive energy source.
  - 36. A system according to any of claims 1 to 35, additionally comprising a safety mechanism to prevent unintended multiple actuations of the actuator.

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- 37. A system according to claim 36, wherein said safety mechanism imposes a time delay between successive actuations of the actuator.
- 38. A system according to any of claims 1 to 37, additionally comprising an actuation counter.

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- 39. A system according to any of claims 1 to 38, additionally comprising a medicament release counter, preferably a dose counter.
- 10 40. A system according to any of claims 1 to 39, additionally comprising a manual override.
  - 41. An inhalation device for the delivery of inhalable medicament comprising a housing and a system according to any of claims 1 to 40.
  - 42. A method for the delivery of inhalable medicament to a patient comprising
  - (i) monitoring the breath cycle of a patient by use of a monitor;
  - (ii) at a trigger point, sending an actuation signal from said monitor to an actuator;
- (iii) on receipt of said actuation signal by said actuator, actuating the release of inhalable medicament to the patient,
  - characterized in that said trigger point is coupled to the end of the exhalation part of the breath cycle.
- 30 43. Method according to claim 42, wherein steps (i) to (iii) are repeated until the breath cycle corresponds to a medically acceptable form.
  - 44. Method according to claim 42, comprising
- 35 (i) monitoring a plurality of breath cycles of a patient by use of a monitor;

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- "(ii) analysing said plurality of breath cycles to define an averaged breath cycle for the patient;
- 5 (iii) predicting a trigger point from said averaged breath cycle, the trigger point being coupled to the end of the exhalation part of the averaged breath cycle;

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- (iv) monitoring a further breath cycle and at said predicted trigger point sending an actuation signal from said monitor to an actuator;
- (v) on receipt of said actuation signal by said actuator, actuating the release of inhalable medicament to the patient.



### 'INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

GB

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A1

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27 July 1999 (27.07.99)

GB

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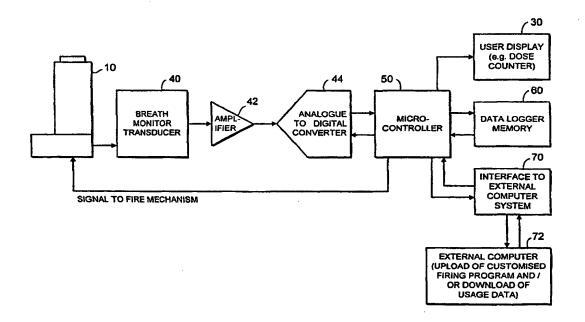
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(54) Title: MEDICAMENT DELIVERY SYSTEM



#### (57) Abstract

There is provided a system for the delivery of inhalable medicament comprising a monitor (40) for monitoring the breath cycle of a patient, a medicament container (2) having a release mechanism (4, 5) for releasing inhalable medicament therefrom, and an actuator (50) for actuating said release mechanism, the actuator (50) being actuable in response to a signal from the monitor (40). The monitor (40) provides the signal at a trigger point which is coupled to the end of the exhalation part of the breath cycle.

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44.

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A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61M11/00 A61M A61M15/00 A61M16/00 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IAPC 7 A61M Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal C. DOCUMENTS CONSIDERED TO BE RELEVANT Category ° Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. 1,2, 7-21,28, X WO 92 12750 A (VORTRAN MEDICAL TECHNOLOGY INC) 6 August 1992 (1992-08-06) 32,38-41 abstract page 3, line 27 -page 4, line 30 page 9, line 25 -page 12, line 34 page 13, line 28-34 figures 3,4,22, 23, 29-31, 36,37 Α 5,6, 24-27, 33-35 Further documents are listed in the continuation of box C. Х Patent family members are listed in annex. Special categories of cited documents : \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance invention "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-\*O\* document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled in the art. document published prior to the international filing date but later than the priority date claimed \*&\* document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 1 August 2000 0 9. 08. 00 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl. Lager, J Fax: (+31-70) 340-3016

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